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31093 U.S. PTO

FORM PTO-1082

EXPRESS MAIL LABEL NO.: EL457358635US
PATENT
Attorney Docket No.: 401720-1
Date: October 3, 2001

jc986 U.S. PTO
09/970736
10/03/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: CHIH-WEI HUANG

For: SYSTEM AND METHOD FOR MEASURING PERFORMANCE OF TRADING INSTRUMENTS WITHIN A MARKET

☒ **APPLICANT(S) CLAIMS SMALL ENTITY STATUS. SEE 37 CFR 1.27.**

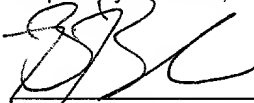
Enclosed are:

- 16 Pages of Specification
- 4 Sheets of drawing (☒ formal ☐ informal)
- ☒ Declaration and Petition. ☐ Will follow.
- ☐ Form PTO-1595 and an assignment of the invention to ☐ Will follow.
- ☐ A certified copy of ☐ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.
- ☐ An associate Power of Attorney
- ☐ Information Disclosure Statement, Form PTO 1449 and ☐ prior art reference(s).
- ☐ Preliminary Amendment.
- ☒ General Authorization/Request to Petition for Extension of Time.
- ☒ Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$370.00	OR		\$740.00
TOTAL CLAIMS	19	-20=	X 9	\$-0-	OR	X 18	\$-0-
INDEP CLAIMS	2	-3=	X 42	\$-0-	OR	X 84	\$-0-
MULTIPLE			X+ 140	\$-0-	OR	+ 280	\$-0-
DEP CLAIMS PRESENTED			TOTAL:	\$370.00		TOTAL:	\$

- ☐ -Please charge my Deposit Account No. 50-0639 the amount of XX. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$370.00 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$XX to cover the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.
 - ☐ Any patent application processing fees required under 37 CFR 1.17.
 - ☐ The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).
 - ☐ Any filing fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,



Brian M. Berliner
Registration No. 34,549

O'MELVENY & MYERS LLP
400 So. Hope Street
Los Angeles, CA 90071-2899
(213) 430-6000

PATENT

Docket No.: 401720-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chih-Wei Huang

For: System And Method For Measuring Performance Of Trading Instruments Within A Market

CERTIFICATE OF MAILING

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

"EXPRESS MAIL" Mailing Label No.: EL457358635US

Date of Deposit: October 3, 2001

I hereby certify that an application for patent, including:

16 pages of Specification (which includes 19 claims and a one-page Abstract); 4 Sheets of formal Drawings; an executed Declaration and Petition; a General Authorization/Request to Petition for Extensions of Time; a check in the amount of \$370.00 to cover the filing fee; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: October 3, 2001


Rudy C. Bautista